Dirac Equation

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The Schrödinger equation

$$i\hbar \frac{\partial \psi(\vec{r},t)}{\partial t} = -\frac{\hbar^2}{2m} \, \vec{\nabla}^2 \psi(\vec{r},t) + V(\vec{r},t)\psi(\vec{r},t)$$

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2/44

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Despite being realistically invariant, the Klein-Gordon equation has some shortcomings which make it practically useless for the sake of quantum mechanical description of a relativistic particle, as e.g. electron.

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 $j^{\mu}(x) \equiv i \left[\varphi^{*}(x) \partial^{\mu} \varphi(x) - \partial^{\mu} \left(\varphi^{*}(x) \right) \varphi(x) \right] \equiv (\rho(x), \vec{j}(x)),$

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$$\begin{array}{lll} \partial_{\mu}j^{\mu}(x) &=& i\partial_{\mu}\left[\varphi^{*}(x)\partial^{\mu}\varphi(x) - \partial^{\mu}\left(\varphi^{*}(x)\right)\varphi(x)\right] \\ &=& i\left[\partial_{\mu}\varphi^{*}(x)\partial^{\mu}\varphi(x) + \varphi^{*}(x)\partial_{\mu}\partial^{\mu}\varphi(x) \\ && -\partial_{\mu}\partial^{\mu}\left(\varphi^{*}(x)\right)\varphi(x) - \partial^{\mu}\varphi^{*}(x)\partial_{\mu}\varphi(x)\right] \\ &=& i\left[\varphi^{*}(x)\Box\varphi(x) - \Box\left(\varphi^{*}(x)\right)\varphi(x)\right] \\ &=& i\left[\varphi^{*}(x)(-\mu^{2})\varphi(x) + \mu^{2}\varphi^{*}(x)\varphi(x)\right] = 0, \end{array}$$

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where we have used the Klein-Gordon equation and its complex conjugate

$$\left(\Box + \mu^2\right)\varphi(x) = 0$$

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$$\left(\Box + \mu^2\right)\varphi(x) = 0 \quad \Rightarrow \quad \Box\varphi(x) = -\mu^2\varphi(x),$$

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We would like to interpret the zeroth component $j^0(x)$ of the current $j^{\mu}(x)$ as the probability density $\rho(x)$ of finding a particle in the spatial volume element d^3x at time t. Unfortunately,

$$\rho(x) = i \left[\varphi^*(x) \partial^0 \varphi(x) - \partial^0 \left(\varphi^*(x) \right) \varphi(x) \right]$$

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Moreover, if the wave function $\varphi(x)$ of the Klein-Gordon equation is real, then the current $j^{\mu}(x)$ is identically equal to 0. We would like to interpret the zeroth component $j^0(x)$ of the current $j^{\mu}(x)$ as the probability density $\rho(x)$ of finding a particle in the spatial volume element d^3x at time t. Unfortunately,

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is not a positively defined quantity, which in practice excludes the probabilistic interpretation of the wave function $\varphi(x)$. Moreover, if the wave function $\varphi(x)$ of the Klein-Gordon equation is real, then the current $j^{\mu}(x)$ is identically equal to 0. If, in spite of that, we assumed that $|\varphi(x)|^2$ is the probability density of finding a particle in the spatial volume element d^3x at time *t*, then the probability normalization integral

$$\int |\varphi(t,\vec{x})|^2 \,\mathrm{d}^3 x = 1$$

would not be time conserved.

Another problem is related to the fact that there is the second derivative with respect to time in the Klein-Gordon equation, while we would like the relativistic wave equation to have the form analogous to the Schrödinger equation, i.e.

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If we take the square root of the relationship $E^2 - \bar{p}^2 c^2 = m^2 c^4$, then we obtain the relativistic formula for the particle energy

$$E=\pm\sqrt{\vec{p}^2c^2+m^2c^4}.$$

We want the particle energy to be positive, thus we choose

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Klein-Gordon Wave Equation

To see this let us Fourier transform the wave function

$$\psi(t,\vec{x}) = \frac{1}{(2\pi)^{\frac{3}{2}}} \int \mathrm{d}^3 k e^{i\vec{k}\cdot\vec{x}} \tilde{\psi}(t,\vec{k}).$$

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In order to have the wave function $\psi(t, \vec{x})$ on the r.h.s. we have to perform an inverse Fourier transformation

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To circumvent those problems we will proceed in a different way.

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Let us assume that the Hamiltonian in the relativistic wave equation

$$i\hbar \frac{\partial \psi(x)}{\partial t} = H\psi(x)$$

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 $H = \vec{\alpha} \cdot \vec{p} + \beta m$

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where we have symmetrized the coefficient of the $p_i p_j$ term in order to avoid possible cancellations between coefficients in front of $p_i p_j$ and $p_j p_i$.

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Thus we see that the following relationships must hold

$$\alpha_i \alpha_j + \alpha_j \alpha_i = 2\delta_{ij}\mathbb{I}, \qquad \alpha_i \beta + \beta \alpha_i = 0, \qquad \beta^2 = \mathbb{I}.$$

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It is obvious that α_i , i = 1, 2, 3, and β must be matrices, and hence I must be a unit matrix.

On the other hand, we want that

$$H^2 = p_i p_i + m^2 = \delta_{ij} p_i p_j + m^2,$$

where the coefficient of $p_i p_j$ is already symmetric. Let us compare both formula for H^2 .

$$\frac{1}{2}(\alpha_i\alpha_j+\alpha_j\alpha_i)p_ip_j+(\alpha_i\beta+\beta\alpha_i)mp_i+\beta^2m^2=\delta_{ij}p_ip_j+m^2.$$

Thus we see that the following relationships must hold

$$\alpha_i \alpha_j + \alpha_j \alpha_i = 2\delta_{ij}\mathbb{I}, \qquad \alpha_i \beta + \beta \alpha_i = 0, \qquad \beta^2 = \mathbb{I}.$$

It is obvious that α_i , i = 1, 2, 3, and β must be matrices, and hence \mathbb{I} must be a unit matrix.

In order the Hamiltonian $H = \alpha_i p_i + \beta m$ to be Hermitian, the matrices α_i and β must be Hermitian themselves, i.e.

$$lpha_i^\dagger = lpha_i, \quad i=1,2,3, \qquad eta^\dagger = eta,$$

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$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix},$$

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Let us define symbol $\partial = \gamma^{\mu} \partial_{\mu}$. With it, the Dirac equation takes a simple form

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 $\alpha_i \alpha_j + \alpha_j \alpha_i = 2\delta_{ij} \mathbb{I}, \qquad \alpha_i \beta + \beta \alpha_i = 0, \qquad \beta^2 = \mathbb{I}.$

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Let us summarize our results

$$\begin{array}{rcl} \gamma^{0}\gamma^{i}+\gamma^{i}\gamma^{0} & = & 0, \\ \gamma^{i}\gamma^{j}+\gamma^{j}\gamma^{i} & = & -2\delta_{ij}\mathbb{I} \end{array}$$

and recall the form of the metric tensor

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together with the Hermiticity properties $\gamma^{0 \dagger} = \gamma^{0}$, $\gamma^{i \dagger} = -\gamma^{i}$ can be considered as definition of the Dirac matrices γ^{μ} , $\mu = 0, 1, 2, 3$. The Dirac matrices can be chosen in the following way

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In this way, we have shown that the Dirac equation is algebraically correct.

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Having found one representation of the Dirac matrices we can obtain any other representation by means of a unitary transformation.

 $\gamma^{\mu} \rightarrow \tilde{\gamma}^{\mu} = U^{\dagger} \gamma^{\mu} U$, where $UU^{\dagger} = U^{\dagger} U = \mathbb{I}$.

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Thus we see that matrices $\tilde{\gamma}^{\mu}$ satisfy the same commutation relations and Hermiticity properties as the original matrices $\gamma^{\mu}.$

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then the new matrices would not satisfy desired Hermiticity properties.

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The other commonly used representation of matrices γ^{μ} is the Weyl representation:

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Exercise. Find the unitary matrix that transforms γ^{μ} 's in the Dirac to the Weyl representation, $\gamma^{\mu}_{W} = U^{\dagger} \gamma^{\mu}_{D} U$.

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As γ^{μ} 's are 4 \times 4 matrices, the wave function of the Dirac equation

 $(i\gamma^{\mu}\partial_{\mu}-m)\,\psi(x)=0$

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We will show that each component $\psi_a(x)$, a = 1, 2, 3, 4, of the wave function $\psi(x)$ satisfies the Klein-Gordon equation.

To this end let us write down explicitly the matrix indices in the Dirac equation

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 $\left(-\gamma_{ca}^{\nu}\gamma_{ab}^{\mu}\partial_{\nu}\partial_{\mu}-im\gamma_{cb}^{\nu}\partial_{\nu}+im\gamma_{cb}^{\mu}\partial_{\mu}-m^{2}\delta_{cb}\right)\psi_{b}(x)=0.$

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$E=\pm\sqrt{\vec{p}^2+m^2}.$

Existence of the negative energy solutions caused some anxiety in the beginning, but then it occurred that they just represent antiparticles of positive energy $E = \sqrt{\vec{p}^2 + m^2}$ which propagate opposite to the time flow.

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 $(i\gamma^{\mu}\partial_{\mu}-m)\psi(x)=0,$

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$$j^{0} = \bar{\psi}\gamma^{0}\psi = \psi^{\dagger}\gamma^{0}\gamma^{0}\psi = \psi^{\dagger}\psi$$
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$$= |\psi_{1}|^{2} + |\psi_{2}|^{2} + |\psi_{3}|^{2} + |\psi_{4}|^{2}$$

$$j^{0} = \bar{\psi}\gamma^{0}\psi = \psi^{\dagger}\gamma^{0}\gamma^{0}\psi = \psi^{\dagger}\psi$$

$$= (\psi_{1}^{*}, \psi_{2}^{*}, \psi_{3}^{*}, \psi_{4}^{*})\begin{pmatrix}\psi_{1}\\\psi_{2}\\\psi_{3}\\\psi_{4}\end{pmatrix} = \psi_{1}^{*}\psi_{1} + \psi_{2}^{*}\psi_{2} + \psi_{3}^{*}\psi_{3} + \psi_{4}^{*}\psi_{4}$$

$$= |\psi_{1}|^{2} + |\psi_{2}|^{2} + |\psi_{3}|^{2} + |\psi_{4}|^{2} = \rho \ge 0.$$

$$\begin{aligned} j^{0} &= \bar{\psi}\gamma^{0}\psi = \psi^{\dagger}\gamma^{0}\gamma^{0}\psi = \psi^{\dagger}\psi \\ &= \left(\psi_{1}^{*},\psi_{2}^{*},\psi_{3}^{*},\psi_{4}^{*}\right) \begin{pmatrix}\psi_{1}\\\psi_{2}\\\psi_{3}\\\psi_{4}\end{pmatrix} = \psi_{1}^{*}\psi_{1} + \psi_{2}^{*}\psi_{2} + \psi_{3}^{*}\psi_{3} + \psi_{4}^{*}\psi_{4} \\ &= |\psi_{1}|^{2} + |\psi_{2}|^{2} + |\psi_{3}|^{2} + |\psi_{4}|^{2} = \rho \ge 0. \end{aligned}$$

Thus, we see that the zeroth component of the Dirac current can be interpreted as the probability density ρ of finding a particle in the spatial volume element d^3x at time t.

$$\begin{aligned} j^{0} &= \bar{\psi}\gamma^{0}\psi = \psi^{\dagger}\gamma^{0}\gamma^{0}\psi = \psi^{\dagger}\psi \\ &= \left(\psi_{1}^{*},\psi_{2}^{*},\psi_{3}^{*},\psi_{4}^{*}\right) \begin{pmatrix}\psi_{1}\\\psi_{2}\\\psi_{3}\\\psi_{4}\end{pmatrix} = \psi_{1}^{*}\psi_{1} + \psi_{2}^{*}\psi_{2} + \psi_{3}^{*}\psi_{3} + \psi_{4}^{*}\psi_{4} \\ &= |\psi_{1}|^{2} + |\psi_{2}|^{2} + |\psi_{3}|^{2} + |\psi_{4}|^{2} = \rho \ge 0. \end{aligned}$$

Thus, we see that the zeroth component of the Dirac current can be interpreted as the probability density ρ of finding a particle in the spatial volume element d^3x at time t.